FORM 4	ŀ
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Check this box if no	
longer subject to	
Section 16. Form 4 or	
Form 5 obligations	
may continue. See	I
Instruction 1(b)	1

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL Washington, D.C. 20549 OMB 323

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

IP OF Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Resp	ponses)										
1. Name and Addr LaPenta Robert							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) XDrectorX10% Owner Officer (give titleOther (specify below)				
(Last) (First) (Middle) C/O ASTON CAPITAL, 177 BROAD ST., 12TH FLOOR			3. Date of (Month/Da 12/01/20	ay/Year)	rans	saction	be	low)			
STAMFORD, C	4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing(Check Applicable Line) _X. Form filed by One Reporting Person Form filed by More than One Reporting Person										
(City)	p)	Table I	- Non-L	Deriv	vative Securiti	cquire	ired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	any	emed on Date, if /Day/Year)	3. Transact Code (Instr. 8 Code		4. Securities A (A) or Dispos (Instr. 3, 4 an Amount	sed of (D)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.001 per share	12/01/2014			С		28,092,176	А	<u>(1)</u>	75,045,868 <del>(1)</del>	I	By limited liability company (6)
Common Stock, par value \$0.001 per share	12/01/2014			Р		8,207,995	А	<u>(1)</u>	83,253,863 <u>(1)</u>	I	By limited liability company (6)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		( <i>e.g.</i> , p)	uts, calls, warrants	, options	5, CO	nver	tible sec	urities)													
1. Title of Derivative Security (Instr. 3)	Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	tion )			Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		n of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4,		Expiration Date		Month/Day/Year) (Instr. 3 and 4)		(Instr. 3 and 4)			Derivative Securities Beneficially Owned Following Reported Transaction(s)	Derivative Security: Direct (D) or Indirect	Beneficial
				Code	v	(A)	(D)	Date Exercisable	Expiration Date		Amount or Number of Shares										
Series B Convertible Preferred Stock, par value \$0.001	\$ 0.13	12/01/2014		С			2	(2)	(2)	Common Stock, par value \$0.001 per share	153 ( <u>2)</u>	\$0	0		By limited liability company (6)						
Series C Convertible Preferred Stock, par value \$0.001	\$ 0.6889	12/01/2014		С			10,224	05/15/2013		Common Stock, par value \$0.001 per share	15,444,912 <u>(3)</u>	\$ 0	0	Ι	By limited liability company (6)						
Series E Convertible Redeemable Preferred Stock, par \$0.001	\$ 1.17	12/01/2014		С			5,000	05/15/2013		Common Stock, par value \$0.001 per share	4,441,025 <u>(4)</u>	\$ 0	0		By limited liability company (6)						
Series G Convertible										Common Stock,	0 206 006				By limited						

Preferred	\$ 2.3	12/01/2014	С	18,000	<u>(5)</u>	<u>(5)</u>	par value	8,200,080 (5)	\$0	0	Ι	liability
Stock, par							\$0.001	<u>107</u>				company
\$0.001							per share					(0)

### **Reporting Owners**

Reporting Owner Name / Address		Relationsh	ips	
Reporting Owner Name / Address	Director	10% Owner	Officer	Other
LaPenta Robert V Jr C/O ASTON CAPITAL 177 BROAD ST., 12TH FLOOR STAMFORD, CT 06901	Х	Х		

#### **Signatures**

/s/ Robert V. LaPenta, Jr.	12/03/2014	
Signature of Reporting Person	Date	

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to the Exchange Agreement dated November 25, 2014 (the "Exchange Agreement") between Revolution Lighting Technologies, Inc. (the "Issuer") and RVL 1 LLC ("RVL") and the certificate of designations relating to each outstanding series of preferred stock of the Issuer, on December 1, 2014, RVL converted each such series of preferred stock, including accrued but unpaid dividends thereon, as
(1) applicable, into an aggregate of 28,092,176 shares of common stock, par value \$0.001 per share, of the Issuer (the "Common Stock"). As consideration for RVL's conversion of preferred stock and the extinguishment of all rights relating thereto under the applicable certificate of designations, the Issuer issued to RVL 8,207,995 additional shares of Common Stock. As of December 1, 2014, Aston Capital, LLC

("Aston") held directly 800,000 shares of the Company's restricted Common Stock.
 Pursuant to the Exchange Agreement and the Certificate of Designations, Preferences and Rights relating to the Series B Convertible
 (2) Preferred Stock, par value \$0.001 per share, of the Issuer (the "Series B Stock"), on December 1, 2014, RVL converted two outstanding shares of Series B Stock into 153 shares of Common Stock. Such shares of Series B Stock had become convertible immediately upon issuance and had no expiration date.

Pursuant to the Exchange Agreement and the Certificate of Designations, Preferences and Rights relating to the Series C Senior (3) Convertible Preferred Stock, par value \$0.001 per share, of the Issuer (the "Series C Stock"), on December 1, 2014, RVL converted 10,224 outstanding shares of Series C Stock, plus 416 shares of Series C Stock representing accrued but unpaid dividends thereon, into

15,444,912 shares of Common Stock. Such shares of Series C Stock had no expiration date.
 Pursuant to the Exchange Agreement and the Certificate of Designations, Preferences and Rights relating to the Series E Convertible
 (4) Redeemable Preferred Stock, par value \$0.001 per share, of the Issuer (the "Series E Stock"), on December 1, 2014, RVL converted 5,000 outstanding shares of Series E Stock, plus 196 shares of Series E Stock representing accrued but unpaid dividends thereon, into 4,441,025 shares of Common Stock. Such shares of Series E Stock had no expiration date.

Pursuant to the Exchange Agreement and the Certificate of Designations, Preferences and Rights relating to the Series G Senior Convertible Redeemable Preferred Stock, par value \$0.001 per share, of the Issuer (the "Series G Stock"), on December 1, 2014, RVL

(5) elected to convert 18,000 outstanding shares of Series G Stock, plus 874 shares of Series G Stock representing accrued but unpaid dividends thereon, into 8,206,086 shares of Common Stock. Such shares of Series G Stock had become convertible immediately upon issuance and had no expiration date.

Aston is the managing member of RVL and may be deemed to possess the power to vote and to direct the disposition of the securities of the Issuer beneficially owned by RVL and may be deemed to beneficially own such securities. The Robert V. LaPenta Jr. 2012 Trust (the (6) "Trust") is a member of RVL. Mr. LaPenta, Jr. is an officer of RVL, a member and officer of Aston, a trustee and the beneficiary of the

(0) "Trust") is a member of RVL. Mr. LaPenta, Jr. is an officer of RVL, a member and officer of Aston, a trustee and the beneficiary of the Trust and may be deemed to beneficially own the securities of the Issuer held by RVL and Aston. Mr. LaPenta, Jr.'s interest in the securities reported herein is limited to the extent of his pecuniary interest, if any.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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